



# **Discrete Mathematics**

## ***Unit 3: Sets, Relations and Functions***

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# *Discrete Mathematics Lecture Notes*

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## **Acknowledgements**

- These lecture notes contain some material from the following sources:
  - [ICM]: *Introduction to Computer Mathematics* by C. Runciman, 2003
  - [Rosen]: *Discrete Mathematics and Its Applications* by K. Rosen, 5<sup>th</sup> Edition, Tata McGraw-Hill Edition.

# *Sets and Specifications*



A **set** is a collection of distinct members (or elements).

A **set specification** is an expression of the form {member | predicate}. Or elements can simply be listed in any order between {...}. E.g.  $\{p \mid \text{prime}(p) \wedge p < 10\} = \{2, 3, 5, 7\}$ .

**N** is the set of natural (non-negative whole) numbers i.e.  $\{0, 1, 2, \dots\}$  whereas **Z** is the set of all whole numbers or integers  $\{\dots -2, -1, 0, 1, 2, \dots\}$ .

The **empty set**  $\emptyset$  contains no elements that is  $\emptyset = \{x \mid F\}$ , whereas the **universal set** **U** contains all possible elements (in a domain or agreed world) that is  $\mathbf{U} = \{x \mid T\}$

# *Set Membership and Subsets*

## **Membership**

$x \in S$  means “ $x$  is a member of  $S$ ”

$x \notin S$  means “ $x$  is not a member of  $S$ ” i.e.  $\neg(x \in S)$

## **Axioms of membership:**

$\{x \mid x \in S\} = S$  and  $y \in \{x \mid p(x)\} \equiv p(y)$

**Subset**  $\subseteq$ :  $P \subseteq Q$  is true if and only if all members of  $P$  are also members of  $Q$ . Remember,  $\emptyset \subseteq P$  and  $P \subseteq U$  for any set  $P$ .

**Proper Subset**  $\subset$ :  $P \subset Q$  is true if  $P \subseteq Q$  and  $P \neq Q$

$$\begin{aligned} P \subseteq Q &\equiv \forall x, x \in P \Rightarrow x \in Q \\ P = Q &\equiv \forall x, x \in P \Leftrightarrow x \in Q \end{aligned}$$

# *Intersection, union, complement and disjoint sets*

**intersection**  $\cap$ ; **union**  $\cup$

*If  $P, Q$  are sets, their*

$$\begin{aligned}\text{union } P \cup Q &= \{x | x \in P \vee x \in Q\} \\ \text{intersection } P \cap Q &= \{x | x \in P \wedge x \in Q\}\end{aligned}$$

**difference**  $\setminus$

*If  $P, Q$  are sets, their*

$$\text{difference } P \setminus Q = \{x | x \in P \wedge x \notin Q\}$$

**complement**

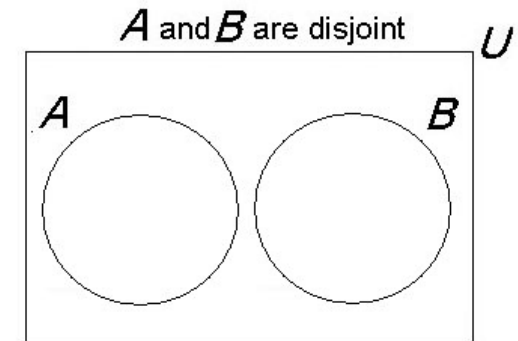
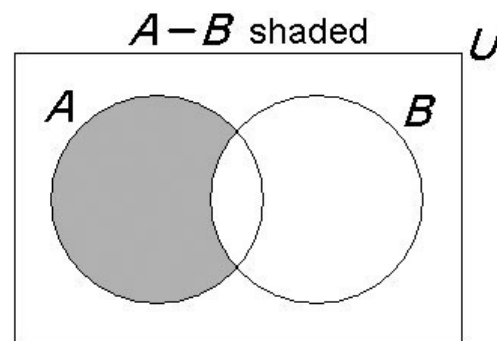
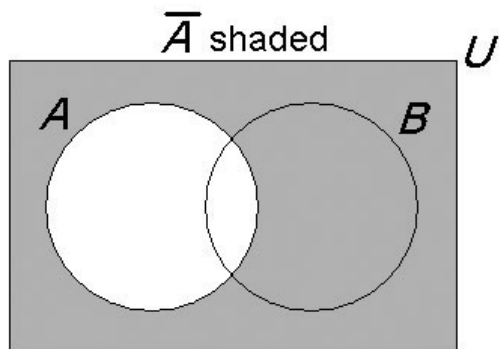
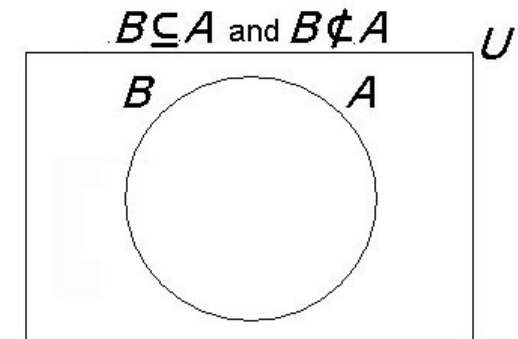
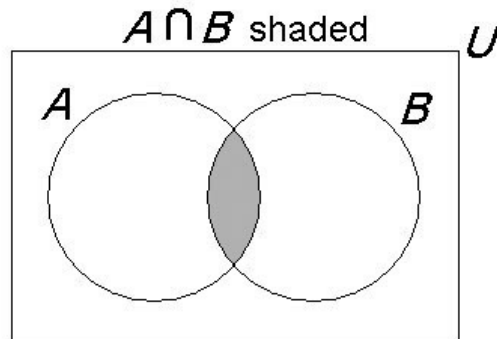
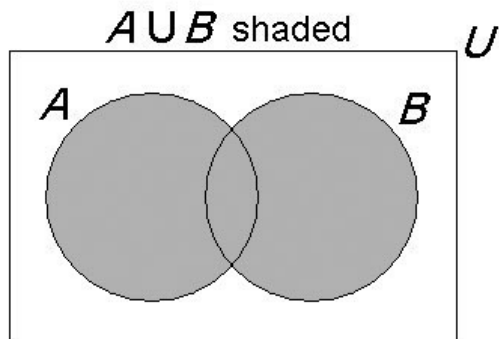
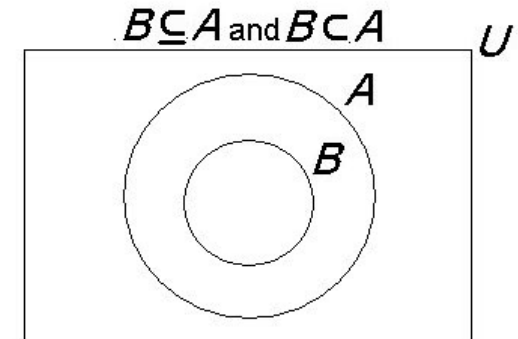
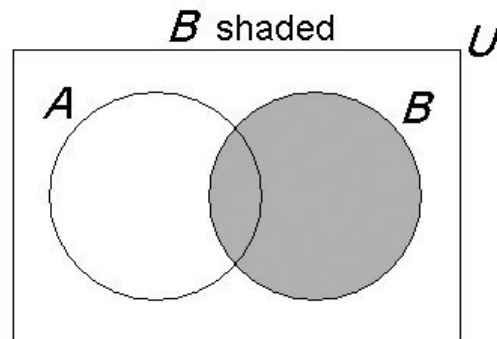
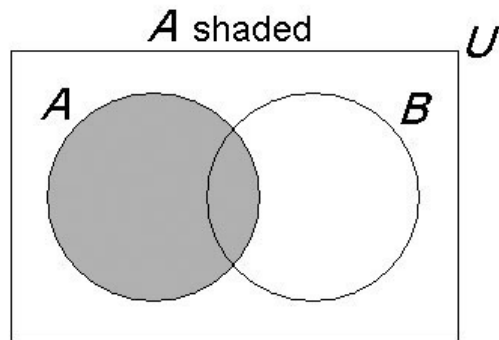
$$\text{complement } \bar{P} = \{x | x \notin P\}$$

From [ICM]

Please note that difference  $P \setminus Q$  is often written as  $P - Q$ , and the complement of  $P$  is also often written as  $P'$ .

Two sets  $P$  and  $Q$  are said to be **disjoint** if  $P$  and  $Q$  have no elements in common i.e.  $P \cap Q = \emptyset$

# Venn Diagrams



# Theorems regarding sets

<i>Identity</i>	<i>Name</i>
$A \cup \emptyset = A$ $A \cap U = A$	Identity laws
$A \cup U = U$ $A \cap \emptyset = \emptyset$	Domination laws
$A \cup A = A$ $A \cap A = A$	Idempotent laws
$\overline{(\overline{A})} = A$	Complementation law
$A \cup B = B \cup A$ $A \cap B = B \cap A$	Commutative laws
$A \cup (B \cup C) = (A \cup B) \cup C$ $A \cap (B \cap C) = (A \cap B) \cap C$	Associative laws
$A \cap (B \cup C) = (A \cap B) \cup (A \cap C)$ $A \cup (B \cap C) = (A \cup B) \cap (A \cup C)$	Distributive laws
$\overline{A \cup B} = \overline{A} \cap \overline{B}$ $\overline{A \cap B} = \overline{A} \cup \overline{B}$	De Morgan's laws
$A \cup (A \cap B) = A$ $A \cap (A \cup B) = A$	Absorption laws
$A \cup \overline{A} = U$ $A \cap \overline{A} = \emptyset$	Complement laws

From [Rosen]

# *Rules regarding set membership*

TABLE 2 A Membership Table for the Distributive Property.

$A$	$B$	$C$	$B \cup C$	$A \cap (B \cup C)$	$A \cap B$	$A \cap C$	$(A \cap B) \cup (A \cap C)$
1	1	1	1	1	1	1	1
1	1	0	1	1	1	0	1
1	0	1	1	1	0	1	1
1	0	0	0	0	0	0	0
0	1	1	1	0	0	0	0
0	1	0	1	0	0	0	0
0	0	1	1	0	0	0	0
0	0	0	0	0	0	0	0



# *Set Cardinality and Rank*

If  $S$  is a set,  $\#S$  (or  $\text{card}(S)$  or  $|S|$ ) expresses its **cardinality** or the number of elements it has. E.g.  $\#\emptyset = 0$  whereas  $\#\{14, 6, 3, 2\} = 4$

**Theorem** *If  $P$  and  $Q$  are finite sets:*

$$(a) \quad Q \subseteq \bar{P} \Rightarrow \#(P \cup Q) = \#P + \#Q$$

$$(b) \quad Q \subseteq P \Rightarrow \#(P \setminus Q) = \#P - \#Q$$

**Definition ( $\uplus$ , disjoint union)**  $P \uplus Q$  is the union of disjoint sets  $P$  and  $Q$ .

$$\text{So, } \#(P \uplus Q) = \#P + \#Q.$$

**Theorem (union decomposition)**

$$P \cup Q = (P \setminus Q) \uplus (P \cap Q) \uplus (Q \setminus P)$$

**Theorem (cardinality rule)**

$$\#(P \cup Q) + \#(P \cap Q) = \#P + \#Q.$$

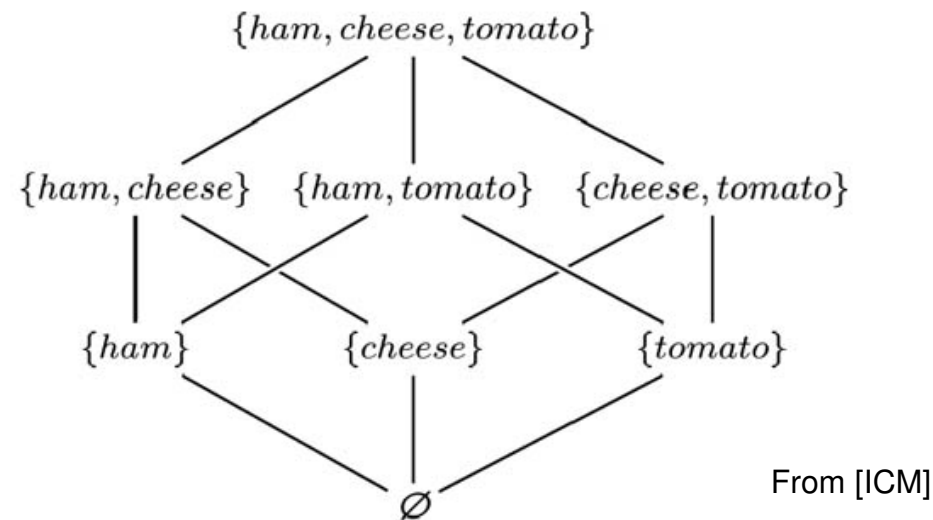
From [ICM]

Sets can contain other sets as elements e.g.  $P = \{\{1,2\}, 3\}$ . Anything other than a set (e.g. 3) has rank 0. If  $S$  is a set whose highest ranked element has rank  $k$ , then  $S$  has **rank**  $k+1$ . Therefore  $\{1,2\}$  has rank  $0+1=1$  and  $P$  has rank  $1+1=2$ .

# The Powerset

If  $S$  is a set, its **powerset**  $2^S$  is the set which contains all possible subsets of  $S$  as its elements. i.e.  $2^S = \{R \mid R \subseteq S\}$

E.g.  $S = \{\text{ham}, \text{cheese}, \text{tomato}\}$ , then  $2^S$  includes:



$2^S = \{ \emptyset, \{\text{ham}\}, \{\text{cheese}\}, \{\text{tomato}\}, \{\text{ham}, \text{cheese}\}, \{\text{ham}, \text{tomato}\}, \{\text{cheese}, \text{tomato}\}, \{\text{ham}, \text{cheese}, \text{tomato}\} \}$

For any set  $S$ , its powerset  $2^S$  will have  $2^{\#S}$  elements. i.e.  $\#(2^S) = 2^{\#S}$

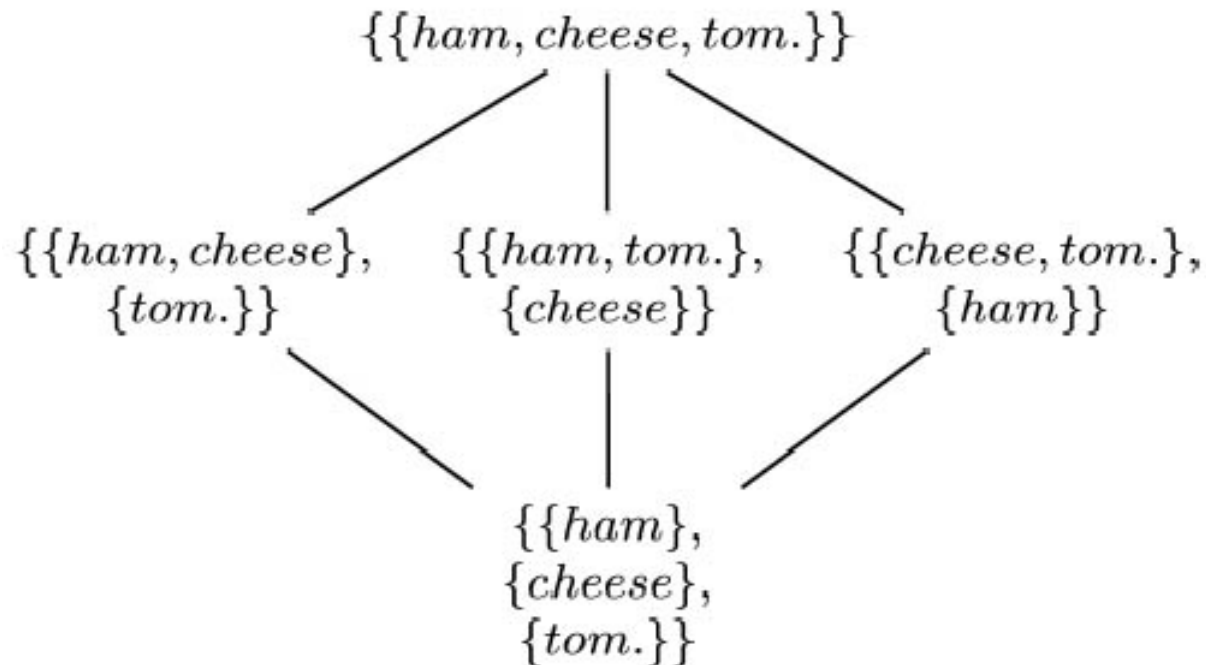
# Partitions

A set  $\{P_1, P_2, P_3, \dots\}$  of non-empty subsets of a set  $S$  is a partition of  $S$  exactly if:

- (1)  $P_1 \cup P_2 \cup P_3 \cup \dots = S$  ( $P_i$ 's cover  $S$ ), and
- (2)  $j \neq k \Rightarrow P_j \cap P_k = \emptyset$  ( $P_i$ 's are disjoint).

The only partition of  $\emptyset$  is itself  $\emptyset$ . If  $P$  is a partition of  $S \neq \emptyset$  then  $1 \leq \#P \leq \#S$ .

the possible partitions of  $S$  are



# *Cartesian Product and Relations*

The **cartesian product**  $A \times B$  of two sets  $A$  and  $B$ , is the set of ordered pairs  $\{(a, b) \mid a \in A \wedge b \in B\}$ .

E.g.  $D = \{\text{Mon, Tues, Wed, Thurs, Fri}\}$  and  $T = \{\text{am, pm}\}$

$D \times T = \{ (\text{Mon, am}), (\text{Mon, pm}), (\text{Tues, am}), (\text{Tues, pm}), (\text{Wed, am}),$   
 $(\text{Wed, pm}), (\text{Thurs, am}), (\text{Thurs, pm}), (\text{Fri, am}), (\text{Fri, pm}) \}$

Therefore one can see that  $\#(A \times B) = \#A \times \#B$

Given  $A = \{0, 1\}$ ,  $B = \{t, f\}$  and  $C = \{x, y\}$

$A \times B \times C = \{(0, t, x), (0, t, y), (0, f, x), (0, f, y), (1, t, x), (1, t, y), (1, f, x), (1, f, y)\}$

Therefore  $\#(A \times B \times C) = \#A \times \#B \times \#C$

If  $R \subseteq (A \times B)$ , then  $R$  is called a **relation** from the set  $A$  to the set  $B$ .

# *Sets and Quantifiers*

$\forall x \in S P(x)$  denotes the universal quantification of  $P(x)$  where the universe of discourse is the set  $S$ . i.e.

$$\forall x \in S P(x) \equiv \forall x \ x \in S \Rightarrow P(x)$$

$\exists x \in S P(x)$  denotes the existential quantification of  $P(x)$  where the universe of discourse is the set  $S$ .

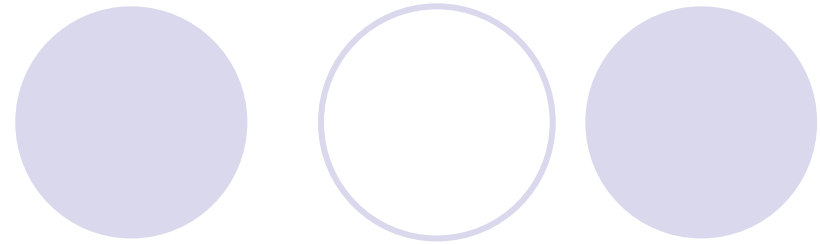
$$\exists x \in S P(x) \equiv \exists x \ x \in S \wedge P(x)$$

Examples:

$\forall x \in \mathbb{R} (x^2 \geq 0)$  means that the square of all real numbers is greater than or equal to 0.

$\exists x \in \mathbb{Z} (x^2 = 4)$  means that there is at least one integer whose square is 4

# *Binary Relations*



If  $R \subseteq (A \times B)$ , then  $R$  is called a **relation** from the set  $A$  to the set  $B$  i.e.  $R : A \leftrightarrow B$ . A relation may be represented using set specification, a directed graph or an adjacency matrix.

The **directed graph** for  $R$  contains nodes representing each element of  $A$  and  $B$  where an edge from  $x$  to  $y$  exists if  $x \in A \wedge y \in B \wedge (x,y) \in R$  (which can also be written as  $xRy$ ). The **adjacency matrix**  $M$  for  $R$  is a matrix of dimensions  $\#A \times \#B$ , where  $M_{x,y} = 1$  if  $x \in A \wedge y \in B \wedge (x,y) \in R$  (i.e.  $xRy$ ) and 0 otherwise.

Throughout this course we will be dealing mostly with relations involving two sets, however  $n$ -ary relations involving  $n$  sets often arise. For a relation  $R : A_1 \times A_2 \times \dots \times A_n$ ,  $A_1, A_2, \dots, A_n$  are called its domains and  $n$  is called its degree. Each element of  $R$  will be a tuple  $(a_1, a_2, \dots, a_n)$ . This is analogous to the way records are stored in database systems.

# *An example of a relation*

E.g.  $D = \{\text{Mon, Tues, Wed, Thurs, Fri}\}$  and  $T = \{\text{am, pm}\}$

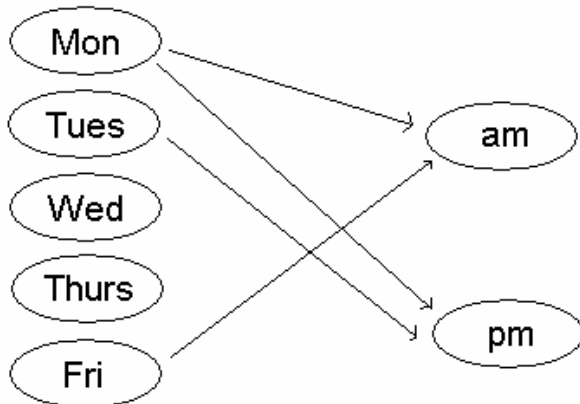
$D \times T = \{ (\text{Mon, am}), (\text{Mon, pm}), (\text{Tues, am}), (\text{Tues, pm}), (\text{Wed, am}),$   
 $(\text{Wed, pm}), (\text{Thurs, am}), (\text{Thurs, pm}), (\text{Fri, am}), (\text{Fri, pm}) \}$

Specification of  $S$  where  $S : D \leftrightarrow T$

$S = \{ (\text{Mon, am}), (\text{Mon, pm}), (\text{Tues, pm}), (\text{Fri, am}) \}$

One can say,  $S(\text{Mon}) = \{\text{am, pm}\}$ ,  $S(\text{Fri}) = \{\text{am}\}$ ,  $S(\text{Wed}) = \{\}$ .

Diagraph  $G_S$  of  $S$



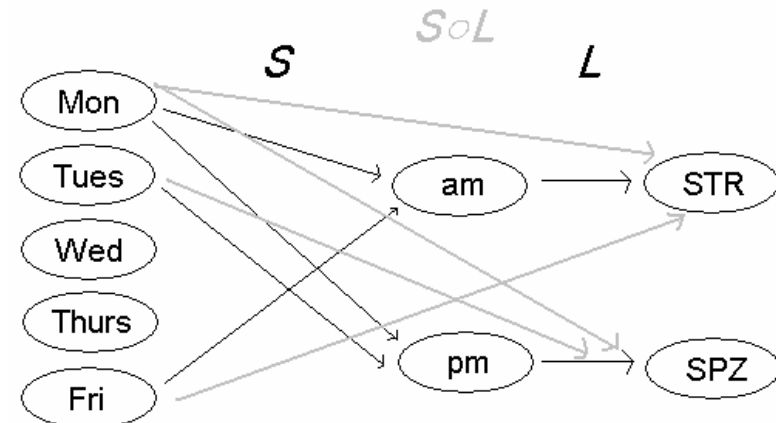
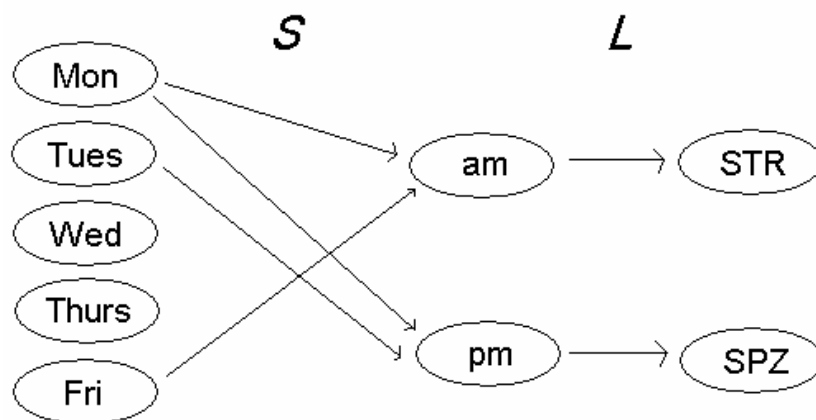
Adjacency matrix  $M_S$  for  $S$

	am	pm
Mon	1	1
Tues	0	1
Wed	0	0
Thurs	0	0
Fri	1	0

# Relational inverse and composition

If  $R : A \leftrightarrow B$ , then its relation inverse  $R^{-1}$  is defined by the rule  $xRy \Leftrightarrow yR^{-1}x$ . If  $M$  is the adjacency matrix of  $R$ , then  $M^T$  (i.e. the transpose of  $M$ ) is the adjacency matrix for  $R^{-1}$ . The digraph for  $R^{-1}$  has all the edges from  $R$  only in the opposite direction. E.g.  $S^{-1}(\text{am}) = \{\text{Mon}, \text{Fri}\}$ ,  $S^{-1}(\text{pm}) = \{\text{Mon}, \text{Tues}\}$

For any  $R : A \leftrightarrow B$  and  $S : B \leftrightarrow C$ , the **relational composition**  $R \circ S : A \leftrightarrow C$  is defined by  $(a,c) \in R \circ S \Leftrightarrow \exists b (a,b) \in R \wedge (b,c) \in S$ . E.g. where  $T = S \circ L$ ,  $T(\text{Mon}) = \{\text{STR}, \text{SPZ}\}$ ,  $T(\text{Thurs}) = \{\}$ ,  $T^{-1}(\text{SPZ}) = \{\text{Mon}, \text{Tues}\}$ .





# Relational inverse and composition

Rules about relational inverse composition:

- Given a relation  $R$ ,  $M_{R^{-1}} = M_R^T$
- Relations  $R$  and  $S$  can be combined using using basic set operations. For example:

$$(x,y) \in R \cap S \Leftrightarrow (x,y) \in R \wedge (x,y) \in S, \text{ and } (x,y) \in R \cup S \Leftrightarrow (x,y) \in R \vee (x,y) \in S$$

- If  $R$  and  $S$  are composable relations then  $M_{R \circ S} = M_R \cdot M_S$
- The adjacency matrix of  $R \cup S$  can be computed as  $M_R + M_S$
- During addition/multiplication of adjacency matrices, boolean rules are applied where  $\text{sum}(a,b) = \max(a,b)$  and  $\text{product}(a,b) = \min(a,b)$
- Since,  $(M_R \cdot M_S)^T = M_S^T \cdot M_R^T$ ,  $(R \circ S)^{-1} = (S^{-1} \circ R^{-1})$
- If  $R$  is a relation on the set  $A$ , i.e.  $R : A \leftrightarrow A$ , then

$$R^1 = R, \text{ and } R^{n+1} = R^n \circ R, \text{ i.e. } M_{R^{n+1}} = M_{R^n} \cdot M_R$$

# Definitions of Relations

Consider a relation  $R : A \leftrightarrow A$ , with adjacency matrix  $M_R$  and digraph  $G_R$

*Reflexive/Irreflexive*: Relation  $R$  is **reflexive** if  $\forall x \in A, (x,x) \in R$ , the diagonal of  $M_R$  contains all 1s and  $G_R$  contains loops around every node (e.g.  $=, \leq$ ).

Relation  $R$  is **irreflexive** if  $\forall x \in A, (x,x) \notin R$ , the diagonal of  $M_R$  contains all 0s and  $G_R$  contains no loops around a node (e.g.  $<, >$ ). Some relations are neither reflexive nor irreflexive.

*Symmetric/Antisymmetric*: Relation  $R$  is **symmetric** if  $\forall x,y \in A, (x,y) \in R \Rightarrow (y,x) \in R$ , the matrix  $M_R$  is symmetric along its diagonal and all edges in  $G_R$  are two-way (e.g.  $=, \text{spouse}$ ).

Relation  $R$  is **anti-symmetric** if  $\forall x,y \in A, (x,y) \in R \wedge (y,x) \in R \Rightarrow (x=y)$ , any off-diagonal 1 in matrix  $M_R$  is mirrored by a 1 and no edges in  $G_R$  are two-way (e.g.  $\text{parent}, <$ ). Some relations are neither symmetric nor anti-symmetric.

# Definitions of Relations

*Transitive*: Relation  $R$  is **transitive**,  $\forall x, y, z \in A (x, y) \in R \wedge (y, z) \in R \Rightarrow (x, z) \in R$  and for every two-edge path between two nodes on  $G_R$  there is also a direct link between them (e.g.  $<$ ,  $>$ ,  $=$ ).  $R$  is transitive iff  $R^n \subseteq R$  for  $n = 1, 2, 3, \dots$

Some other definitions:

The **identity relation**  $I_A : A \leftrightarrow A$  is defined by  $(x, y) \in I_A \Leftrightarrow (x = y)$ . The digraph for  $I_A$  contains all loops around each node and its identity matrix contains 1s on its diagonal and 0 everywhere else. For any  $R : A \leftrightarrow A$ ,  $R^0 = I_A$

A **partial ordering** is a relation  $R : A \leftrightarrow A$ , that is reflexive, anti-symmetric and transitive (e.g.  $\geq$ ,  $\leq$ ). The pair  $(A, R)$  is called a **poset**. A strict partial ordering is irreflexive, anti-symmetric and transitive (e.g.  $<$ ,  $>$ ).

Elements  $x, y$  of poset  $(A, R)$  are said to be **comparable** if  $xRy \vee yRx$ . A **total/linear ordering** is one in which every pair of elements is comparable.

An **equivalence relation** is one that is reflexive, transitive and symmetric. E.g.  $=$

If  $R$  on  $A$  is an equivalence relation, for each  $x \in A$  we define the **equivalence class**  $[x]_R = \{ y \mid xRy \}$

# Closures of Relations

If  $X \in \{\text{reflexive, symmetric, transitive}\}$ , the  $X$  closure of a relation  $R : A \leftrightarrow A$ , is the smallest relation on  $A$  with property  $X$  and  $R$  as a subset.

The **reflexive closure** of a relation  $R$  on  $A$  is  $R \cup I_A$ , or  $R \cup R^0$

The **symmetric closure** of a relation  $R$  on  $A$  is  $R \cup R^{-1}$

The **transitive closure** of a relation  $R$  on  $A$  is  $R^+$ .  $R^+ = R \cup R^2 \cup \dots \cup R^n$ , ( $n = \#A$ )

One can also have closures with more than one property, for example:

The **reflexive transitive closure** of a relation  $R$  on  $A$  is  $R^*$ , where  $R^* = R^0 \cup R^+$

Things to remember:

$$R^+ \cup R^0 = (R \cup R^0)^+$$

reflexive closure of transitive closure = transitive closure of reflexive closure

$$(R \cup R^0) \cup (R \cup R^0)^{-1} = (R \cup R^{-1}) \cup (R \cup R^{-1})^0$$

symmetric closure of reflexive closure = reflexive closure of symmetric closure

BUT

$$R^+ \cup (R^+)^{-1} \neq (R \cup R^{-1})^+$$

symmetric closure of transitive closure  $\neq$  transitive closure of symmetric closure

The RHS is the symmetric transitive closure.

# *Functions and their types*

A relation  $f : A \leftrightarrow B$ , is a **function** if for every  $x \in A$ , there is at most one  $y \in B$ , such that  $(x, y) \in f$ . A function  $f$  is defined as  $f : A \rightarrow B$ , where  $A$  and  $B$  are called the **domain** and **codomain** respectively of  $f$ .

The **range/image** of  $f$  is the subset of  $B$  that is related by  $f$  to elements of  $A$ . The subset of  $A$  that is related by  $f$  to elements of  $B$  is called the **domain of definition** of  $f$ . If  $x$  is in the domain of definition of  $f$ , then there exists exactly one  $y \in B$  such that  $f(x) = y$ .  $f$  is undefined for elements in  $A$  that are not in its domain of definition.

If  $f$  is a function,  $M_f$  will have at most one 1 in each row whereas  $G_f$  will have at most one edge coming out of each  $A$  node. A **partial function** ( $f : A \rightarrow B$ ) may have some elements of  $A$  that are not related to elements in  $B$ , i.e. the domain and the domain of definition are not the same for  $f$ .

A function  $f$  is a **total function** ( $f : A \rightarrow B$ ), if for every  $x \in A$  there is exactly one  $y \in B$  such that  $(x, y) \in f$ .  $M_f$  will have exactly one 1 in each row whereas  $G_f$  will have exactly one edge coming out of each  $A$  node. Usually when we speak of functions, we refer to total functions.

# *Functions and their types*

A function  $f$  is a **surjective/onto function** ( $f : A \rightarrow B$ ), if for every  $y \in B$  there is at least one  $x \in A$ , such that  $(x, y) \in f$ .  $M_f$  will have at least one 1 in each column whereas  $G_f$  will have at least one edge coming into each  $B$  node.

A function  $f$  is a **injective/one-to-one function** ( $f : A \rightarrow B$ ), if for every  $y \in B$  there is at most one  $x \in A$  such that  $(x, y) \in f$ .  $M_f$  will have at most one 1 in each column whereas  $G_f$  will have at most one edge coming into each  $B$  node.

A function ( $f : A \rightarrow B$ ) that is both surjective and injective is called a **bijection** or a **one-to-one correspondence**.

A **permutation** is a one-to-one correspondence on a finite set.

# Examples of digraphs of functions

